

CONTAINMENT FACILITY INTERNAL CERTIFICATION POLICY (MPF1195)
SCHEDULE A – FACILITIES THAT REQUIRE CERTIFICATION UNDER THIS POLICY

Required Approvals Agent Used	Gene Technology Project Approval (GMOs)	University Project Approval (Non-GMOs)	University Internal Certification ¹ (This policy)	Rationale
Risk Group 1	Yes (exempt)	No	Yes	<ul style="list-style-type: none"> • Low risk work, but must be conducted in facilities that meet OGTR Guidelines for containment of Exempt Dealings
	Yes (NLRD)	No	No	<ul style="list-style-type: none"> • Risks assessed and managed as part of OGTR approval • Work conducted in OGTR certified facilities only
	No	No	No	<ul style="list-style-type: none"> • Work with Risk Group1 agents presents minimal risk • Internal certification is not required
Risk Group 2 (and samples that may contain RG2 agents)	Yes (NLRD/DNIR)	No	No	<ul style="list-style-type: none"> • Risk assessed and managed as part of OGTR approval • Must be conducted in OGTR certified facilities
	No	No	Yes	<ul style="list-style-type: none"> • Risk managed by compliance with PC2 requirements • Facility must be internally certified
Risk Group 3 (and samples that may contain RG3 agents)	Yes (NLRD/DNIR)	No	No	<ul style="list-style-type: none"> • Risk assessed and managed as part of OGTR approval • Must be conducted in OGTR certified facilities
	No	Yes	Yes	<ul style="list-style-type: none"> • Higher risk work. Not all risk managed by working in PC3 facility • SOPs require approval and facility must be internally certified
Risk Group 4 (and samples that may contain RG4 agents)	Yes	No	No	<ul style="list-style-type: none"> • Work with Risk Group 4 agents not permitted without explicit prior approval of the Deputy Vice-Chancellor (Research)
	No	Yes	Yes	<ul style="list-style-type: none"> • If work involves genetically modified Risk Group 4 agents, then the facility must be OGTR-certified

¹ Unless facility is approved to DAFF criteria

REVIEW

This schedule is to be reviewed by 30 November 2015.

VERSION HISTORY

Version	Approved By	Approval Date	Effective Date	Sections Modified
1	Senior Vice-Principal	1 Aug 2013	1 Aug 2013	N/A